

GENENT.48CP1C1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Klein et al. ) Group Art Unit 1647  
Appl. No. : 10/033,350 ) I hereby certify that this correspondence and all  
Filed : November 2, 2001 ) marked attachments are being deposited with the  
For : USES OF GDNF AND GDNF ) United States Postal Service as first-class mail in  
RECEPTOR ) an envelope addressed to: United States Patent  
Examiner : Unknown ) and Trademark Office, P.O. Box 2327,  
Arlington, VA 22202, on  
August 29, 2002  
(Date)  
Ginger R. Dreger, Reg. No. 33,055

RESPONSE TO DEPARTMENT OF ENERGY (DOE) NOTICE

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202  
Attention: Licensing and Review

RECEIVED  
SEP - 6 2002  
LICENSING & REVIEW

Dear Sir:

A Notice mailed on July 30, 2002 in connection with the above-identified patent application states that the subject matter of this application appears to be "useful in the production or utilization of special nuclear material or atomic energy" as recited in 42 U.S.C. 2182.

Enclosed are four property rights statements, each executed and dated by one of the four inventors named in the present application. The statements confirm that the invention was not made or conceived in the course of, or in connection with, or under terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission, the Energy Research and Development Administration, the Department of Energy, or the National Aeronautics and Space Administration.

Applicants respectfully request the continuance of prosecution of and grant of a patent on this application.

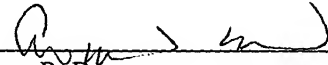
Appl. No. : 10/033,350  
Filed : November 2, 2001

Although no fees are believed to be due, please charge any fees, including any fees for extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: August 29, 2002

By:   
Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record  
Customer No. 20,995  
(415) 954-4114

W:\DOCS\GRD\GRD-8815.DOC  
082902

08/26/02 12:10 FAX 650 752 0202

DELTAGEN

001/001

Aug-20-02 03:11pm From: Genentech Legal

+6508628882

T-828 P.02/02 F-838

08/09/02 14:16 FAX 415 964 4111

KNOBBE MARTENS et al

GENENTECH

003

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Robert D. Klein

citizens of United States of America

residing at 1044 Webster Street, Palo Alto, CA 94301

declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/033,350 filed in the United States of America on November 2, 2001  
titled USES OF GDNF AND GDNF RECEPTOR

(Check and complete either I or II below)

(Check III and/or IV below as appropriate)

*OK*  
☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by Genentech, Inc. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc. Other relevant facts are \_\_\_\_\_

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

That to the best of my (our) knowledge and belief (and/or) based upon information provided by \_\_\_\_\_ of \_\_\_\_\_

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are \_\_\_\_\_

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: \_\_\_\_\_

Post Office Address: 1044 Webster Street, Palo Alto, CA 94301

Date: August 2002

Inventor's Signature: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

Date: 8/26/02

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Mark W. Moore

citizens of United States of America

residing at 880 Blandford Boulevard, Redwood City, CA 94062

declare:

That I (we) made and conceived the invention described and claimed in patent application

Serial Number 10/033,350 filed in the United States of America on November 2, 2001

titled Uses of GDNF and GDNF Receptor

(Check and complete either I or II below)

(Check III and/or IV below as appropriate)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by Genentech, Inc. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc. Other relevant facts are \_\_\_\_\_  
(name of employer)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

That to the best of my (our) knowledge and belief (and/or) based upon information provided by \_\_\_\_\_ of \_\_\_\_\_

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are \_\_\_\_\_

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: \_\_\_\_\_

Post Office Address: 880 Blandford Boulevard, Redwood City, CA 94062

Date: August 12, 2002

Inventor's Signature: Mark W. Moore

Post Office Address: \_\_\_\_\_

Date: \_\_\_\_\_

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Arnon Rosenthal  
 citizens of United States of America  
 residing at 40 Tulip Court, Burlingame, CA 94010  
 declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/033,350 filed in the United States of America on November 2, 2001  
 titled USES OF GDNF AND GDNF' RECEPTOR

(Check and complete either I or II below)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by Genentech, Inc.. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc. Other relevant facts are \_\_\_\_\_  
(name of employer)

That to the best of my (our) knowledge and belief (and/or) based upon information provided by \_\_\_\_\_  
 \_\_\_\_\_ of \_\_\_\_\_

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are \_\_\_\_\_

(Check III and/or IV below as appropriate)

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: 150 NORMAN RD LANE Woodside CA  
 Post Office Address: 40 Tulip Court, Burlingame, CA 94010 94062

Date: August 21, 2002

Inventor's Signature: [Signature]

Post Office Address: \_\_\_\_\_

Date: \_\_\_\_\_

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which NO Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) Anne M. Ryan

citizens of United States of America

residing at 8 Holly Street, Pawcatuck, CT 06349

declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number 10/033,350 filed in the United States of America on November 2, 2001

itled USES OF GDNF AND GDNF RECEPTOR

(Check and complete either I or II below)

(Check III and/or IV below as appropriate)

☒ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed by Genentech, Inc.. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of Genentech, Inc. Other relevant facts are \_\_\_\_\_

That to the best of my (our) knowledge and belief:

☒ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

That to the best of my (our) knowledge and belief (and/or) based upon information provided by \_\_\_\_\_

—OR—

☐ II. (For Self-Employed Inventors) That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are \_\_\_\_\_

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: [Signature]

Post Office Address: 8 Holly Street, Pawcatuck, CT 06349

Date: August 13, 2002

Inventor's Signature: \_\_\_\_\_

Post Office Address: \_\_\_\_\_